# **Electron-lattice instabilities suppress cuprate-like electronic structures in SrFeO3 ÕSrTiO3 superlattices**

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Using *ab initio* density-functional theory we explore the behavior of thin layers of metallic  $d^4$  SrFeO<sub>3</sub> confined between the  $d^0$  dielectric SrTiO<sub>3</sub> in a superlattice geometry. We find that the presence of insulating  $SrTiO<sub>3</sub>$  spacer layers strongly affects the electronic properties of  $SrFeO<sub>3</sub>$ : for single  $SrFeO<sub>3</sub>$  layers constrained to their bulk cubic structure, the Fermi surface is two dimensional, nested, and resembles that of the hole-doped superconducting cuprates. A Jahn-Teller instability couples to an octahedral tilt mode, however, to remove this degeneracy resulting in insulating superlattices.

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## **I. INTRODUCTION**

Progress in the layer-by-layer growth of transition-metal oxide thin films $1,2$  $1,2$  motivated the intriguing recent suggestion that oxide heterostructures engineered to have band structures close to those of the high- $T_c$  cuprates could yield new superconductors.<sup>3</sup> Strained LaNiO<sub>3</sub> layers separated by inert spacers such as  $LaAlO<sub>3</sub>$  or  $LaGaO<sub>3</sub>$  were proposed as a promising trial system, with strain lifting the degeneracy of the single  $Ni^{3+}e_{\varphi}$  electron and layering providing quasi-twodimensionality. Indeed, first-principles electronic structure calculation[s4](#page-5-4) on Ni-based perovskite oxide superlattices constrained to their high-symmetry structure have found that, with careful choice of strain and local-interface chemistry, $5$ two-dimensional (2D) Fermi surfaces resembling those of the hole-doped cuprates $6$  can be obtained. Experimentally these superlattices, however, show neither high-temperature normal-state metallic resistivity nor low-temperature superconductivity possibly because competing electronic or struc-tural instabilities are enhanced by epitaxial strain<sup>7,[8](#page-5-8)</sup> and/or reduced dimensionality. Although high- $T_c$  superconductivity tends to occur in materials with large electronic fluctuations and in close proximity to electronic, structural, or magnetic phase transitions, $9-12$  strong electron-lattice coupling can also drive undesirable symmetry changes that are detrimental to the superconducting state. $13-16$  $13-16$ 

With these factors in mind, we examine how structural confinement and intrinsic lattice instabilities modify the electronic structure of  $SrFeO<sub>3</sub>$  in  $SrTiO<sub>3</sub>/SrFeO<sub>3</sub>$  superlattices. Our motivation for this choice of system is threefold. First, like Ni<sup>3+</sup>, Fe<sup>4+</sup> has a single degenerate  $e_g$  electron in an octahedral environment that dictates its low-energy physics. The restriction on Fe-based compounds—traditionally dismissed from consideration for superconductivity because of their robust magnetism—has been lifted due to the recent discovery of superconducting Fe pnictides[.17](#page-5-13) Second, bulk  $SrFeO<sub>3</sub>$  is metallic with *p*-type conductivity (like the doped cuprates) and is proximal to multiple instabilities: it manifests a long-wavelength spin-density wave, but neither Jahn-Teller distorts nor charge orders, even though both possibilities are suggested by its chemistry. Finally, unlike the structurally inert  $LaAlO<sub>3</sub>$  in the nickelate superlattices,  $SrTiO<sub>3</sub>$  is a highly polarizable dielectric, which can couple to electronic or structural distortions<sup>18</sup> in the SrFeO<sub>3</sub> layer.

Using first-principles density-functional theory (DFT) within the local-spin-density approximation (LSDA) plus Hubbard  $U$  (LSDA+ $U$ ) method, we calculate the structure and electronic properties of  $(SrTiO<sub>3</sub>)<sub>n</sub> / (SrFeO<sub>3</sub>)<sub>m</sub>$  superlattices. We focus on (i) the evolution of the 2D band structure with thickness of the dielectric and metal  $(n, m=1 \text{ or } 3)$  and (ii) how competing structural and electronic instabilities manifest in the superlattices. We find that the 2D confinement from the superlattice periodicity along the growth (z) direction yields low-energy physics that are primarily derived from hybridized Fe *dx*2−*y*<sup>2</sup> and O 2*p* orbitals. The idealized high-symmetry superlattice structure has a strongly nested 2D Fermi surface that is similar to that of the parent superconductor  $La_2CuO_4$ .<sup>[19](#page-5-15)</sup> Strong electron-lattice instabilities, enhanced by the 2D confinement, transform the metastable metallic structure into a lower-symmetry *insulating* superlattice.

# **II. COMPUTATIONAL DETAILS**

Our LSDA+*U* DFT calculations are performed using the Vienna *ab initio* simulation package (VASP).<sup>[20](#page-5-16)[,21](#page-5-17)</sup> We follow the approach of Dudarev *et al.*[22](#page-5-18) and include an effective Hubbard term  $U_{\text{eff}} = U - J$  of 6 eV to treat the Fe 3*d* orbitals. This method and value of  $U_{\text{eff}}$  gave good results in earlier first-principles calculations for bulk  $SrFeO<sub>3</sub>$  and related iron oxide compounds. $23,24$  $23,24$  The core and valence electrons are treated with the projector augmented wave method<sup>25</sup> with the following valence electron configurations:  $3s^2 3p^6 4s^2$  (Sr),  $3p^63d^74s^1$  (Fe),  $3s^23p^63d^24s^2$  (Ti), and  $2s^22p^4$  (O). The Brillouin-zone (BZ) integrations are performed with a Gaussian smearing of 0.05 eV over a  $9 \times 9 \times 5$  Monkhorst-Pack *k*-point mesh<sup>26</sup> centered at  $\Gamma$  and a 450 eV plane-wave cutoff. For structural relaxations, we relax the ions until the Hellmann-Feynman forces are less than 1 meV  $A^{-1}$ .

We construct the superlattices by stacking five-atom perovskite units along the *z* direction [see Fig.  $1(d)$  $1(d)$ ] and constrain the in-plane lattice parameter (xy plane) to that of cubic local-density approximation (LDA) SrTiO<sub>3</sub>  $(a=3.86 \text{ Å})$ to simulate growth on a  $SrTiO<sub>3</sub>$  substrate. While the lattice mismatch between  $SrFeO<sub>3</sub>$  and  $SrTiO<sub>3</sub>$  is small (the theoret-

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FIG. 1. (Color online) The DOS for (a) cubic  $SrTiO<sub>3</sub>$  $(a=3.86 \text{ Å})$ , (b) cubic FM SrFeO<sub>3</sub>  $(a=3.79 \text{ Å})$ , and (c) the high-symmetry *P*4/*mmm* (SrTiO<sub>3</sub>)<sub>3</sub>/(SrFeO<sub>3</sub>)<sub>1</sub> superlattice shown in  $(d)$ .

ical mismatch is  $1.8\%$ , with ferromagnetic (FM)  $LSDA+U$  $SrFeO<sub>3</sub>$  having  $a=3.79$  Å), we show below that it is sufficient to impose an epitaxial crystal field  $(\Delta_{\text{ECF}})$  that lifts the Fe *eg* orbital degeneracy. We then relax the length of the *c* axis and the internal degrees of freedom along the *z* direction. The in-plane periodicity imposed by this choice of reference structure does not permit cell-doubling structural distortions such as octahedral rotations or orbital ordering: this highly symmetric superlattice mimics the structural constraints often considered to be imposed in heteroepitaxial thin films. We relax this restriction later to study electrondriven lattice instabilities.

FM order is imposed on the Fe sites in all calculations and is theoretically  $24$  found to be the lowest-energy collinear ordering for bulk  $SrFeO<sub>3</sub>$ . The experimentally observed antiferromagnetism results from a long-wavelength screw structure in which the angle between neighboring spins rotates by  $\sim$ 40° along the [111] direction<sup>27</sup> due to strong ferromagnetic nearest-neighbor interactions.

## **III. RESULTS AND DISCUSSION**

#### **A. Electronic structure of the ideal superlattice**

We begin by studying the electronic structure of the highsymmetry  $(SrTiO<sub>3</sub>)<sub>3</sub>/(SrFeO<sub>3</sub>)<sub>1</sub>$  superlattice (Table [I](#page-1-1)). We plot in Fig. [1](#page-1-0) our calculated local densities of states (DOSs) for cubic SrTiO<sub>3</sub> [Fig. [1](#page-1-0)(a)], cu[b](#page-1-0)ic SrFeO<sub>3</sub> [Fig. 1(b)], and the superlattice [Fig.  $1(c)$  $1(c)$ ]. Our results for the bulk compounds are consistent with the literature: in  $SrTiO<sub>3</sub>$  we obtain a  $\sim$ 2 eV band gap between an O 2*p* valence band and a Ti 3*d* conduction band. SrFeO<sub>3</sub> is nearly half-metallic with a calculated magnetic moment of  $3.8\mu_B$  per Fe atom, consistent with a high-spin  $d^4$  electronic configuration. The Fermi level (dashed line at 0 eV) lies in a region of majority-spin Fe  $e_g^{\dagger}$ -O 2*p*<sup> $\dagger$ </sup> hybridized orbitals and in the O 2*p*<sup> $\dagger$ </sup> valence band. The electronic structure of the superlattice is close to a superposition of its constituents, except for a reduction in the contribution of the O 2p states at  $E_F$  making the superlattice half-metallic. While the use of the Hubbard *U* method in DFT calculations is known to drive bands toward integer filling, the half-metallicity is robust for  $U=0-8$  eV. The FM order, as in the bulk, is the lowest-energy collinear spin con-

<span id="page-1-1"></span>TABLE I. Calculated structural data used in this work for the reference  $(SrTiO<sub>3</sub>)<sub>3</sub>/(SrFeO<sub>3</sub>)<sub>1</sub>$  superlattice. The in-plane lattice constant is constrained to the optimized LDA value for  $SrTiO<sub>3</sub>$ , and the *c* axis is also optimized: the lattice parameters are  $a=b$ = 3.86 Å and *c*= 15.241 Å in space group *P*4/*mmm*.

Atom	Wyckoff	$\boldsymbol{\mathcal{X}}$	y	Z.
Sr <sub>1</sub>	2h	$\frac{1}{2}$	$\frac{1}{2}$	0.126
Sr <sub>2</sub>	2h	$\frac{1}{2}$	$rac{1}{2}$	0.622
Ti <sub>1</sub>	2g	0	0	0.252
Ti <sub>2</sub>	1a	0	0	$\Omega$
Fe <sub>1</sub>	1 <sub>b</sub>	0	0	$\frac{1}{2}$
O <sub>1</sub>	4i	0	$\frac{1}{2}$	0.252
O <sub>2</sub>	2f	0	$\frac{1}{2}$	$\theta$
$O_3$	2e	0	$\frac{1}{2}$	$\frac{1}{2}$
${\rm O}_4$	2g	0	$\theta$	0.126
O <sub>5</sub>	2g	0	0	0.622

figuration, with the checkerboard 97 meV and the striped antiferromagnetic order 45 meV per five-atom unit cell higher in energy.

We plot the band structure of the *P*4/*mmm* superlattice in Figs.  $2(a)$  $2(a)$  and  $2(b)$  using the "fat-band" method<sup>28</sup> in which the magnitude of the projection of each Bloch state onto a particular set of atomic orbitals is represented by its line-width: Fig. [2](#page-1-2)(a) shows the equatorial O  $2p_{x,y}$ -Fe  $3d_{x^2-y^2}$ states and Fig.  $2(b)$  $2(b)$  shows the O  $2p_z$ -Fe  $3d_{z^2}$  orbitals. Consistent with the DOS, we find partial occupation of the majority  $d_{x^2-y^2}$  and  $d_{z^2}$  Fe states at  $E_F$  with the energy of the  $d_{x^2-y^2}$  at  $\Gamma$  0.50 eV lower than that of the  $d_{z^2}$  orbital. The energy difference at  $\Gamma$  is explained from compression of the apical  $(1.88 \text{ Å})$  over the in-plane  $(1.93 \text{ Å})$  Fe-O bond

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FIG. 2. (Color online) Electronic band-structure plots for the [(a) and (b)]  $n=3$ ,  $m=1$  and [(c) and (d)]  $n=1$ ,  $m=1$  superlattices. (a) and (c) show the majority-spin fat bands (blue, heavy lines) derived from O  $2p_{x,y}$ -Fe  $3d_{x^2-y^2}$  states, whereas (b) and (d) show the O  $2p_z$ -Fe  $3d_{z^2}$  states. The Fermi surface at (e)  $k_z$ =0 and in the full (f) BZ shown in (g) consists of two bands connected by the  $Q=(1,1,0)^{\frac{\pi}{a}}$  interband nesting vector (arrow).

length from the imposed  $\Delta_{\text{ECF}}$ .<sup>[4](#page-5-4)</sup> Interestingly, the apical Fe-O distance is shorter than the value  $(1.90 \text{ Å})$  that we obtain when we force *bulk* SrFe $O_3$  to have the SrTi $O_3$  in-plane lattice constant, suggestive of an additional spontaneous Jahn-Teller-like distortion (we examine this later). Despite the substrate elongation of the equatorial Fe-O bonds, the bands of  $d_{x^2-y^2}$  character do not fully split from the  $d_{z^2}$  bands to produce a single band crossing  $E_F$ ; in fact, both the top and the bottom of the  $e_g$  band are dominated by  $d_{x^2-y^2}$  states. The  $d_{z}$ <sup>2</sup> band can likely be further destabilized to achieve an identical cuprate-like electronic structure with additional strain<sup>3</sup> and/or chemical modifications.<sup>4</sup>

We now investigate the character of the 2D bands and examine how the electronic structure at  $E_F$  responds to changes in the dielectric thickness by comparing the full band structures of the  $(SrTiO<sub>3</sub>)<sub>3</sub>/(SrFeO<sub>3</sub>)<sub>1</sub>$  [Figs. [2](#page-1-2)(a) and  $2(b)$  $2(b)$ ] and  $(SrTiO<sub>3</sub>)<sub>1</sub>$  /  $(SrFeO<sub>3</sub>)<sub>1</sub>$  [Figs. 2(c) and 2(d)] superlattices. As expected, the bandwidth of the  $d_{x^2-y^2}$  derived states along  $\Gamma$ -A is nearly the same in the two superlattices due to identical in-plane structural parameters. The dispersion of the  $d_{z}$ <sup>2</sup> bands is markedly different, however, with the less confined  $n=1$ ,  $m=1$  superlattice having a larger bandwidth than the more confined  $n=3$ ,  $m=1$  along the *M*-*A* lines. Increasing dielectric thickness  $(n=1,...,4)$  shows that the dispersive  $d_{x^2-y^2}$  bands saturate at  $\sim$ 2 eV in width while the  $d_{z^2}$ states are almost dispersionless, although never fully split. In contrast, increasing the thickness of the  $SrFeO<sub>3</sub>$  layers in the superlattice produces several partially occupied *eg* bands crossing  $E_F$ , and the band structure (not shown) resembles a regular metal. We conclude that a cuprate-like band structure is unlikely in superlattices containing multiple ferrate layers.

The 2D confinement is discernible in the Fermi surface of the  $n=3$ ,  $m=1$  superlattice shown in Figs. [2](#page-1-2)(e) and 2(f). The additional band crossing  $E_F$  in the  $k_z$ =0 plane, not seen in the  $n=1$ ,  $m=1$  [Figs. [2](#page-1-2)(c) and 2(d)] superlattice nor bulk  $SrFeO<sub>3</sub>$  lattice matched to  $SrTiO<sub>3</sub>$ , produces squaredcylindrical arrays around the *M* point consistent with the fourfold symmetry of the lattice. An unusual inward bowing along the  $\Gamma$ -*X* direction occurs with corrugations along  $k_z$ similar to overdoped cuprates. The band curvature indicates electron and hole sheets at  $\Gamma$  and *M*, respectively, similar in character to the superconducting cuprates.<sup>19,[29](#page-5-25)</sup> Interestingly, the two Fermi sheets nearly intersect at half the  $\Gamma$ - $M$  distance producing a nesting vector  $\mathbf{Q} = (1, 1, 0)^{\frac{\pi}{a}}$  connecting them. Such a vector can result in a charge- or spin-density wave, or for strong coupling of the electronic system to the lattice, a symmetry lowering structural distortion. These two sheets are largely insensitive to the calculation details: changing *U* weakly affects the band crossings at  $E_F$  since the electronic structure is mainly modified by the confining  $SrTiO<sub>3</sub>$  layers. Spin-orbit interactions also do not alter the FS nesting.

#### **B. Competing lattice instabilities**

We now investigate possible structural instabilities in the  $(SrTiO<sub>3</sub>)<sub>3</sub>$ / $(SrFeO<sub>3</sub>)<sub>1</sub>$  superlattice, and their influence on the FS degeneracy. We identify likely structural distortions that connect the high-symmetry reference phase to low-symmetry structures by atomic displacements that maintain a direct

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FIG. 3. (Color online) Illustrations of the structural distortions that may couple with the electronic system:  $M_1^+$  breathing mode,  $M_2^+$ stretch mode,  $M_3^+$  rotation mode of the FeO<sub>6</sub> octahedra around the *z* direction,  $M_4^+$  bending of the Fe-O bonds (purple, solid lines), and two different tilt patterns  $M_5^+(a,0)$  and  $M_5^+(a,a)$  in the *xy* plane.

group-subgroup relation. These structural distortions or modes are associated with different irreducible representations (irreps) of the parent space group, and the contribution of each mode in the distorted structure can be decomposed using a symmetry-mode analysis. $30,31$  $30,31$  The advantage of the technique is that it allows a complicated structural distortion to be reduced to a unique set of symmetry modes of the parent phase from which local atomic displacement vectors can be found.

We identify the symmetry breaking distortions of the reference  $(n=3, m=1)$  superlattice in space group  $P4/mmm$ by choosing those that are commensurate with the nesting vector **Q**. Irreps of the same wave vector as the symmetry degeneracy occur at the zone edge  $k = (\frac{1}{2}, \frac{1}{2}, 0)$  and following the conventions of Miller and Love are denoted as  $M_i^+$ (with  $i=1, \ldots, 5$ ). Although there are five additional irreps labeled  $M_i^-$  for the space group  $P4/mmm$ , we do not consider them since they have antisymmetric distortions with respect to the inversion operator. Our fully relaxed *ab initio* structures are then reduced into combinations of these irreps by performing a symmetry-mode analysis $30$  that makes accessible the local atomic displacements. We keep FM spin order fixed through the analysis to isolate the electron-lattice coupling.

The active irreps we examine (Fig.  $3$ ) affect either the equatorial Fe-O bond lengths (crystal field) or O-Fe-O bond angles ( $dp\sigma$  bandwidth). Irreps  $M_1^+$  and  $M_2^+$  are planar breathing and stretch modes of the  $FeO<sub>4</sub>$  plaquettes, respectively, and are anticipated to strongly modulate the  $e_g^{\dagger}$ -O 2*p* hybridization at  $E_F$ . The  $M_1^+$  mode produces two unique FeO<sub>4</sub> plaquettes arranged in a 2D checkerboard manner and gives rise to charge disproportionation in bulk isoelectronic CaFeO<sub>3</sub>.<sup>[32](#page-5-28)</sup> In contrast the  $M_2^+$  irrep, or Jahn-Teller mode, creates two long and two short equatorial Fe-O bonds and favors orbital polarization. The remaining irreps are collective distortions of the octahedral units that produce the common tilt and rotation patterns found in perovskite oxides. These modes affect the  $dp\sigma$  bandwidth through deviations in the Fe-O-Fe bond angle away from the ideal  $180^\circ$ :  $M_3^+$  consists of rotations of the in-plane oxygen atoms about the *z* axis;  $M_4^+$  produces a bending distortion of the O-F-O bond; and the

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FIG. 4. (Color online) Evolution of the total energy (per fiveatom perovskite cell) for irreps  $M_i^+$  (with  $i=1,\ldots,5$ ) frozen into the  $SrFeO<sub>3</sub>$  layer of the  $(SrTiO<sub>3</sub>)<sub>3</sub> / (SrFeO<sub>3</sub>)<sub>1</sub>$  superlattice relative to the high-symmetry structure given in Table [I.](#page-1-1)

2D irrep  $M_5^+$  produces two possible tilt patterns of the planar oxygen atoms:  $M_5^+(a,0)$  giving a pattern with space group symmetry *Pmna* or  $M_5^+(a, a)$  with *Cmma*.

## **C. Electron-lattice coupling and energetics**

We begin the analysis of the effect of the *M*-point irreps on the electronic structure of the  $n=3$ ,  $m=1$  superlattice by first "freezing" each irrep as a function of mode amplitude into the equatorial oxygen atoms coordinating each Fe atom. For each irrep we increase the size of superlattice to be commensurate with the atomic distortion pattern.) At the same time, we keep the remaining atoms in the superlattice clamped to the reference *P*4/*mmm* positions to isolate the response of the ferrate layer—displacements of other atoms in the superlattice are obtained later through full structural optimization.

We first evaluate how the total energy changes as a function of each mode amplitude. This is done by performing total-energy calculations at a fixed displacement magnitude of equatorial oxygen atoms about the Fe atoms. The change in total energy is shown in Fig. [4](#page-3-0) for each irrep normalized to a five-atom perovskite unit cell. It is clear that the two modes which affect the Fe-O bond length,  $M_1^+$  and  $M_2^+$ , both lower the energy of the superlattice. The sensitivity of the total energy on the Fe-O bond length is not too surprising in this case since the states near the Fermi level in the superlattice are derived from the Fe  $e_g^{\dagger}$  orbitals which point directly at the O 2*p* orbitals; therefore, any direct changes to the bonding  $e_g^{\dagger}$ -O 2*p* orbital overlap via a structural distortion lowers the total energy through chemical bond formation. In addition, the rotational mode  $M_3^+$  lowers the energy: although most octahedral instabilities require long-range cooperation, the  $M_3^+$  mode is effectively decoupled from one layer to the next, and therefore does not require coherency of the oxygen octahedra to be energy lowering. In contrast, the other octahedral tilt instabilities all raise the energy of the system: this can be understood from the fact these irreps require a collective motion of all oxygen octahedra, and not just those in the ferrate layer.

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FIG. 5. (Color online) Electronic responses from  $SrFeO<sub>3</sub>$ (orange, light bars),  $SrTiO<sub>3</sub>$  (blue, dark bars), and the full superlattice (green, empty bars) to the  $M_i^+$  irreps.

The energy change due to the optimal mode amplitude for each irrep frozen in the ferrate layer is shown in Fig. [5](#page-3-1) with respect to the high-symmetry *P4/mmm* superlattice (energies per five-atom perovskite cell). For irreps which raise the energy of the superlattice, we take a displacement value of 0.05 Å for comparison. We find that irreps  $M_1^+$ ,  $M_2^+$ , and  $M_3^+$ lower the energy of the *superlattice*. In contrast they increase the energy of bulk  $SrFeO<sub>3</sub>$  lattice matched to  $SrTiO<sub>3</sub>$ , indicating that the superlattice geometry and the  $e_g$  orbital degeneracy are more susceptible to structural distortions. The remaining irreps which require collective cooperation of all oxygen octahedra increase the superlattice energy when the dielectric is clamped to the reference configuration.

Next, we fully relax the  $SrTiO<sub>3</sub>$  with the ferrate atoms fixed to the distortions above within the symmetry constraints imposed by each irrep to isolate the effect of the dielectric response. Now every irrep except  $M_4^+$  is found to be energy lowering: the large energy gain now observed for the  $M_5^+$  modes suggests that a three-dimensional tilt pattern is preferred—this result is likely driven by the fact that most of the superlattice is  $SrTiO_3$ , which itself has a large  $M_5^+$ -like mode instability.

We now relax both constituents under the symmetry constraints imposed by the irreps to find the lowest-energy atomic configurations. The largest energy-lowering distortions are found to be the Jahn-Teller and tilt modes, both of which are more favorable than the breathing distortion that also directly modifies the  $e<sub>g</sub>$  states at the Fermi level. The breathing mode  $(M_1^+)$  produces two FeO<sub>6</sub> octahedra which differ in volume by 8.1% due to the different equatorial bond lengths of 1.96 and 1.90 Å. In contrast, the stretch mode  $(M_2^{\dagger})$  produces planar Fe-O bonds of 2.01 and 1.86 Å, with an octahedral volume less than 0.40% different from the reference structure.

Both irreps  $M_1^+$  and  $M_2^+$  open energy gaps at the Fermi level in the superlattice [Figs.  $6(b)$  $6(b)$  and  $6(c)$ ], while identical distortions in bulk  $SrFeO<sub>3</sub>$  maintain its metallicity. The tilt modes  $M_5^+(a,0)$  and  $M_5^+(a,a)$  are more unstable than the rotation  $\tilde{M}_3^+$  irrep, with  $\tilde{M}_5^+(a,0)$  almost as energetically favorable as the Jahn-Teller distortion. In the single tilt mode  $M_5^+(a,0)$ , the Fe-O-Fe in-plane bond angle is reduced from the bulk 180° by 5.7°, and the out-of-plane interfacial Fe-O-Ti bond angle is also reduced by 8.6°. Yet, due to the weaker influence of the bond angle on the  $dp\sigma$  bandwidth, none of the bond angle distortions gap the FS. There is a 0.24 eV bandwidth reduction, however, for irrep  $M_5^+$  shown in Fig.  $6(d)$  $6(d)$ . Similarly, the  $M_1^+$  mode also gaps the Fermi



<span id="page-4-0"></span>FIG. 6. (Color online) Band structure for (a) the  $P4/mmm$   $(n=3, m=1)$  superlattice as in Fig. [2](#page-1-2)(a) with the lattice parameter doubled in plane to allow comparison with (b)–(d) which include additional structural distortions, (b)  $M_1^+$ , (c)  $M_2^+$ , and (d)  $M_5^+(a,0)$ , and (e) the ground-state  $P2<sub>1</sub>/c$  structure.

surface—although it is not an energy-lowering distortion—in doped  $La_2CuO<sub>4</sub>$ , whereas the octahedra tilt and rotation modes, which are found in the cuprates, show minor effects on the electronic structure[.33](#page-5-29)

### **D. Metal-insulator transition**

We next calculate the fully optimized structure starting from a combination of the  $M_2^+$  and  $M_5^+$  irreps and examine the change in the electronic structure of the  $(SrTiO<sub>3</sub>)<sub>3</sub>/(SrFeO<sub>3</sub>)<sub>1</sub>$ superlattice. Our ground-state structure is 33.5 meV lower in energy (per five-atom unit cell) than the reference superlattice. It contains  $FeO<sub>4</sub>$  plaquettes with bond lengths of 1.86 and 2.01 Å due to the Jahn-Teller mode that makes the superlattice insulating  $[0.58 \text{ eV}$  gap, Fig.  $6(e)$  $6(e)$ ], while also enhancing the ferromagnetic superexchange<sup>34[,35](#page-5-31)</sup> among the degenerate orbitals; an antiferromagnetic checkerboard arrangement, for example, is 50 meV higher in energy. The octahedral instabilities largely condense in the  $SrTiO<sub>3</sub>$ layers with reduced interaction in the ferrate plane: the in-plane Fe-O-Fe bond angle is reduced by 6.89° while a 10° reduction occurs across the Fe-O-Ti angle. By symmetry decomposing the structure (Table  $\text{II}$  $\text{II}$  $\text{II}$ ) as a combination of the *P4/mmm* irreps,  $0.051\Gamma_1^+ + 0.048\Gamma_5^+ + 0.21M_2^+ + 0.13M_3^+$ +0.97 $M_5^+$ , we find that the primary order parameters  $M_2^+$ and  $M_5^+$  drive the *PA*/*mmm*  $(a^0, a^0, c^0) \rightarrow P2_1/c$   $(a^-, a^-, c^+)$ metal-insulator transition, with the associated change in oc-

tahedral tilt patterns. After this distortion, the  $\Gamma_5^+$  and  $M_3^+$ components are allowed to be nonzero without further symmetry reduction, thus making them secondary order parameters. Since none of these distortions are favored in bulk  $SrFeO<sub>3</sub>$ , we attribute the electron-lattice coupling enhancement to the confinement effects imposed by the superlattice geometry.

### **IV. CONCLUSION**

We have demonstrated that, in a superlattice geometry, confinement from dielectric spacer layers combines with a strain-induced epitaxial crystal field  $(\Delta_{\text{ECF}})$  to modify the energies and dispersions of apical  $d_{z^2}$  and in-plane Fe  $d_{x^2-y^2}$ orbitals. When we simulate superlattices with the highsymmetry cubic structures adopted by room-temperature  $SrTiO<sub>3</sub>$  and  $SrFeO<sub>3</sub>$ , partial planar electron localization yields a 2D Fermi surface that resembles that of the superconducting cuprates. Low-energy *M*-point instabilities compete with nesting on the Fermi surface to make the superlattices proximal to multiple competing phases. The lattice instabilities are enhanced by the dielectric  $SrTiO<sub>3</sub>$  layers; therefore, inert spacer layers without polarizable ions might be more favorable for superconductivity. We hope that our finding of competing structural ground states with distinct electronic properties motivates experimental investigation of  $SrFeO<sub>3</sub>/STIO<sub>3</sub> heterostructures using external electric and$ magnetic fields: such probes could tune between the itinerant

<span id="page-4-1"></span>TABLE II. Different lattice instabilities that reduce the  $P4/mmm$   $(n=3, m=1)$  superlattice to the groundstate structure  $P2_1/c$ . The isotropy subgroups, normalized displacement vectors, and amplitudes are given for each irrep present in the ground-state structure. We provide the common molecular spectroscopy labels for each atom, and note that all atoms in irrep  $\Gamma_1^+$  are given the symmetry  $A_1$  as listed after the last atom in that distortion.

Irrep	Space group	Dimension	Normalized amplitude	Normalized displacement vector	Amplitude (Ă)
$\Gamma_1^+$	123 $P4/mmm$	(a)	0.051	$0.32$ Sr <sub>1</sub> -0.74 Sr <sub>2</sub> +0.034 T <sub>1</sub> -0.58 O <sub>1</sub> +0.12 O <sub>4</sub> $-0.017 O5(A1)$	0.036
$\Gamma_{5}^{+}$	12 C2/m	(a)	0.048	$0.051$ Sr <sub>1</sub> $(E)$ – 0.52 Sr <sub>2</sub> $(E)$ + 0.23 Ti <sub>1</sub> $(E)$ $-0.38 \text{ O}_1(B_2)$	0.034
				+0.51 $O_2(B_1)$ + 0.24 $O_4(E)$ – 0.46 $O_5(E)$	
$M_2^+$	127 P4/mbm	(a)	0.21	$-0.025 O_1(B_2) + 0.99 O_3(B_2)$	0.15
$M_3^+$	127P4/mbm	(a)	0.13	$-0.91 \text{ O}_1(B_1) + 0.40 \text{ O}_2(B_3)$	0.090
$M^+_{5}$	53 Pmna	(a,0)	0.97	$-0.012$ Sr <sub>1</sub> $(E)$ +0.13 Ti <sub>1</sub> $(E)$ -0.49 O <sub>1</sub> $(A_1)$ $+0.38 \text{ O}_2(B_{1u})$ $+0.34 \text{ O}_3(B_{1u}) + 0.52 \text{ O}_4(E) + 0.48 \text{ O}_5(E)$	0.68

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and localized electronic states in analogy with the parent Mott insulating cuprates.

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